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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: 2001-056-SFT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of Inventor(s):

MARCIA REID MARTIN
JAMES LEE RAFERT
CHARLES ROYAL GEHR
MICHAEL WILLIAM MADIGAN

For: DATA MANAGEMENT APPLIANCE

Enclosed are also:

- ☒ 38 Pages of Specification including an Abstract
- ☒ 13 Pages of Claims (1 - 63 Claims)
- ☒ 21 Sheet(s) of Drawings
- ☒ Information Disclosure Statement
- ☒ Form PTO 1449 and References AA-AB

CLAIMS AS FILED

| FOR | Number Filed | | Number Extra | | Rate | | Basic Fee (\$740) |
|---------------------------|-----------------|-------|-----------------|---|-------|---|----------------------|
| Total Claims | 63 | -20 = | 43 | X | \$ 18 | = | \$774.00 |
| Independent Claims | 3 | -3 = | 0 | X | \$ 84 | = | \$0.00 |
| Multiple Dependent Claims | 0 | | | X | \$280 | = | \$0.00 |
| Total Filing Fee | | | | | | | = \$1,514.00 |

- ☒ Please charge \$1,514.00 to Storage Technology Corporation Deposit Account No. 19-4545.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with the communication, or credit any overpayments to Storage Technology Corporation Deposit Account No. 19-4545. (A duplicate copy of this sheet is enclosed.)
- ☒ Any additional filing fees required under 37CFR § 1.16.
- ☒ Any patent application processing fees under 37CFR § 1.17.

Respectfully,

Wayne P. Bailey

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" mailing label number EE713163960US. Date of deposit December 28, 2001. I hereby certify that the papers or fees listed below are being deposited with the United States Postal Service by "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.


Signature

Stephanie Klepp
Printed Name

ENCLOSED are the following documents, being sent by "Express Mail":

1. Transmittal Letter (in duplicate);
2. Patent Application (38 pgs. spec. & abstract, 13 pgs. claims 1 - 63);
3. 21 pgs. of drawings;
4. Information Disclosure Statement;
5. Form PTO 1449;
6. References AA - AB; and
7. Two (2) return postcards.

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